PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond t o a collection of information unless it displays a valid OMB control number. Application Number 10/719,876 TRANSMITTAL Filing Date 11/21/03 **FORM** First Named Inventor WARREN M. FARNWORTH Art Unit (to be used for all correspondence after initial filing) 2812 **Examiner Name** Attorney Docket Number 01-1059.3 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return receipt postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Stephen A. Gratton, Reg. No. 28,418 THE LAW OFFICE OF STEPHEN A GRATTON Individual name Signature Date March 15, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Stephen A. Gratton Signature 3/15/2004

This collection of information is required by 37 CFR 1.b. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In reapplication of:

WARREN M. FARNWORTH ALAN G. WOOD TRUNG TRI DOAN

Serial No. 10/719,876

ART UNIT: 2812

Filing Date: 11/21/2003

EXAMINER:

FOR:

METHOD FOR FABRICATING ENCAPSULATED SEMICONDUCTOR COMPONENTS BY ETCHING

Docket No. 01-1059.3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS

MARCH 15, 2004

MAIL STOP NON FEE AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

Stephen A. Gratton Registration No. 28,418 Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: (303) 989 6353

Fax: (303) 989 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP NON FEE AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 15th day of March, 2004.

Date of Signature

Stephen A. Gration, Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

WARREN M. FARNWORTH ALAN G. WOOD TRUNG TRI DOAN

Serial No. 10/719,876

ART UNIT: 2812

Filing Date: 11/21/2003

EXAMINER:

EOD.

METHOD FOR FABRICATING ENCAPSULATED

SEMICONDUCTOR COMPONENTS BY ETCHING

Docket No. 01-1059.3

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 March 15, 2004

MAIL STOP NON FEE AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418

Attorney of Record

2764 South Braun Way V Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Stephen A. Gratton, Attorney of Record

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Substitute for form 1449/PTO		Со	Complete if Known		
		Application Number	10/719,876		
INFORM	MATION DISCLOSURE	Filing Date	11/21/2003		
		I First Named Inventor	WARREN M. FARNWORTH		
	MENT BY APPLICAN	Art Unit			
(Use as many sheets as necessary)		Examiner Name			
eet 1	of 1	Attorney Docket Number	01-1059.3		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
		Number-Kind Code ^{2 (# known)}			Figures Appear
	Α	^{US-} 6,107,164	08/22/2000	Ohuchi	
	В	^{US-} 6,350,664 B1	02/26/2002	Haji et al.	
	С	^{US-} 6,353,267 B1	03/05/2002	Ohuchi et al.	
	D	^{US-} 6,524,890 B2	02/25/2003	Ueda et al.	
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	F	^{US-} 6,579,748 B1	06/17/2003	Okuno et al.	
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	Н	^{US-} 6,649,445 B1	11/18/2003	Qi et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite Foreign Patent Document Publication Name of Pate	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T		
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